

Attorney Docket No. DEM1P003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Patent Application of: Lee et al.

Serial No.: 09/741,956

Filed: December 20, 2000

For: **ECONOMETRIC ENGINE**

Art Unit: Unknown

Examiner: Unknown

Box: Non-Fee Amendment

Hon. Assistant Commissioner for Patents

Washington, D.C. 20231

March 9, 2001

Dear Sirs:

Certificate of Mail by Express Mail under 37 CFR § 1.10

EXPRESS MAIL LABEL NO.: **EK797900882US**

Date of Deposit: March 9, 2001

I hereby certify that this paper, and the enclosed Information Disclosure Statement form -- list of documents and eighteen (18) cited documents, and return postcard are being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to Box: NON-FEE AMENDMENT, Assistant Commissioner for Patents, Washington, D.C. 20231

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INFORMATION DISCLOSURE STATEMENT FILED
UNDER 37 C.F.R. §§ 1.56 AND 1.97(b)

Pursuant to 37 C.F.R. § 1.56, Applicants bring the following documents to the Examiner's attention in the referenced application.

- 1. "Report of Novelty Search" by Patentec, dated Feb 9, 2001.
- 2. U.S. Patent Number 6,094,641 to K. Ouimet et al. entitled "METHOD FOR INCORPORATING PSYCHOLOGICAL EFFECTS INTO DEMAND MODELS."

- 3. U.S. Patent Number 6,078,893 to K. Ouimet et al. entitled "METHOD FOR STABILIZED TUNING OF DEMAND MODELS."
- 4. U.S. Patent Number 5,987,425 to Hartman et al. entitled "VARIABLE MARGIN PRICING SYSTEM."
- U.S. Patent Number 5,878,400 to Carter, III entitled "METHOD AND APPARATUS FOR PRICING PRODUCTS IN MULTI-LEVEL PRODUCT AND ORGANIZATIONAL GROUPS."
- 6. U.S. Patent Number 5,873,069 to Reuhl et al. entitled "SYSTEM AND METHOD FOR AUTOMATIC UPDATING AND DISPLAY OF RETAIL PRICES."
- 7. U.S. Patent Number 5,822,736 to Hartman et al. entitled "VARIABLE MARGIN PRICING SYSTEM."
- 8. U.S. Patent Number 5,377,095 to Maeda et al. entitled "MERCHANDISE ANALYSIS SYSTEM WITH SALES DATA TABLE AND VARIOUS FUNCTIONS FOR PREDICTING THE SALE BY ITEM."
- 9. U.S. Patent Number 5,117,354 to Long et al. entitled "AUTOMATED SYSTEM FOR PRICING AND ORDERING CUSTOM MANUFACTURED PARTS."
- 10. U.S. Patent Number 6,032,125 to Ando et al. entitled "DEMAND FORECASTING METHOD, DEMAND FORECASTING SYSTEM, AND RECORDING MEDIUM."

- 11. U.S. Patent Number 6,009,407 to Garg entitled "INTEGRATED MARKETING AND OPERATIONS DECISIONS-MAKING UNDER MULTI-BRAND COMPETITION."
- 12. U.S. Patent Number 5,712,985 to Lee et al. entitled "SYSTEM AND METHOD FOR ESTIMATING BUSINESS DEMAND BASED ON BUSINESS INFLUENCES."
- 13. U.S. Patent Number 6,125,355 to Bekaert et al. entitled "PRICING MODULE FOR FINANCIAL ADVISORY SYSTEM."
- 14. U.S. Patent Number 6,032,123 to Jameson entitled "METHOD AND APPARATUS FOR ALLOCATING, COSTING, AND PRICING ORGANIZATIONAL RESOURCES."
- 15. U.S. Patent Number 6,029,139 to Cunningham et al. entitled "METHOD AND APPARATUS FOR OPTIMIZING PROMOTIONAL SALE OF PRODUCTS BASED UPON HISTORICAL DATA."
- 16. U.S. Patent Number 5,918,209 to Campbell et al. entitled "METHOD AND SYSTEM FOR DETERMINING MARGINAL VALUES FOR USE IN A REVENUE MANAGEMENT SYSTEM."
- U.S. Patent Number 5,249,120 to Foley entitled "AUTOMATED MANUFACTURING COSTING SYSTEM AND METHOD."
- 18. U.S. Patent Number 5,063, 506 to Brockwell et al. entitled "COST OPTIMIZATION SYSTEM FOR SUPPLYING PARTS."

Citation of the above documents shall not be construed as an admission that the documents are necessarily prior art with respect to the referenced application. Furthermore, citation of the above documents shall not be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This paper is submitted within three months of the filing date of the referenced patent application in accordance with 37 C.F.R. § 1.97(b); therefore, no fee is believed to be required.

If the Examiner has any questions or comments with respect to the present application, the Examiner is respectfully invited to telephone the undersigned at (925) 570 8198.

Respectfully submitted,

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Sheet 2 of 3 J.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. PATENT AND TRADEMARK OFFICE **DEM1P003** 09/741,956 **APPLICANT** CITED BY APPLICANT Lee et al. WITH 37 C.F.R. 1.56 FILING DATE **GROUP** 12/20/00 Unknown **U.S. PATENT DOCUMENTS** TRADESCUMENT EXAMINER DATE NAME **CLASS SUBCLASS** FILING DATE IF INITIAL* NUMBER APPROPRIATE AJ 6,032,125 02/29/00 Ando 705 10 AK 6,009,407 12/28/99 Garg 705 10 AL 5,712,985 01/27/98 Lee et al. 395 207 AM6,125,355 09/26/00 Bekaert et al. 705 36 AN 6,032,123 02/29/00 Jameson 705 8 AO 6,029,139 02/22/00 Cunningham et al. 705 10 AP 5,918,209 06/29/99 Campbell et al. 705 5 A_O 5,249,120 09/28/93 Foley 364 401 FOREIGN PATENT DOCUMENTS DOCUMENT DATE COUNTRY SUBCLASS TRANSLATION **CLASS** NUMBER NO YES OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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